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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/437,006
Filing Date	11/09/1999
First Named Inventor	Tammy Zheng
Group Art Unit	1765
Examiner Name	C. Brown
Attorney Docket Number	PHA 51219

Total Number of Pages in This Submission 17

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☒ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
 - Appointment of Associates;
 - Statement under 37 CFR 3.73 (b)
 - Appendix B having 13 pages.

Remarks Please change the Attorney Docket Number as shown below.

Replace (old) VLSI.268PA
with (NEW): PHA 51219

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Peter S. Zawilski
Signature	<i>Peter Zawilski</i>
Date	21-Feb-2002

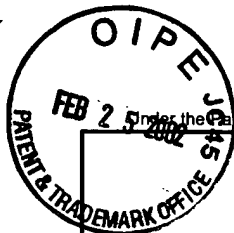
CERTIFICATE OF MAILING

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Koninklijke Philips Electronics N.V.
Application No./Patent No.: 09/437,006 Filed/Issue Date: 11/09/1999
Entitled: Etching Process that Resists Notching at Electrode Bottom
VLSI Technology, Inc. _____, a corporation _____
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 010399, Frame 0501, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

2/22/02
Date

Michael E. Schmitt

Typed or printed name

Signature

Principal Attorney/Manager

Title



02-28-02

IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE

Assistant Commissioner for Patents
Washington, DC 20231

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Re: Changing of Correspondence Address, Atty Docket Number, Revocation of Attorney

Sir:

Attached are six (6) sets of documents to make changes to the cases filed in your office and listed in the Table. These include:

- Transmittal Form
- Revocation of Power of Attorney
- Statement under 37 CFR 3.73(b)
- Power of Attorney or Authorization of Agent, Change of Correspondence Address
- Appendix B showing Assignee Representative's Authority to sign on behalf of Assignee

If required, please charge any additional fees which may now or in the future be required in this application, including extension of time fees, but excluding the issue fee unless explicitly requested to do so, and credit any overpayment, to Deposit Account No. 14-1270.

The Assistant Commissioner is invited to contact me at the phone number below to clarify any matters relevant to these changes. Filing particulars such as Serial Number, Filing Date are on the document sets corresponding to the Attorney Docket Numbers listed below.

Attorney Docket Number	Application Number	Application Filing Date
PHA 51107	09/313,798	5/18/1999
PHA 51036	09/104,555	6/25/1998
PHA 51040	09/449,273	11/24/1999
PHA 50968	09/124,285	7/29/1998
PHA 50645	08/613,151	3/8/1996
PHA 51219	09/437,006	11/09/1999

CERTIFICATE OF EXPRESS MAILING

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Date of Deposit: Feb. 25, 2002

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Respectfully submitted,

By: Peter S. Zawilski

Name: Peter S. Zawilski

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